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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Haoren Zhuang et al. Art Unit: 2818  
Serial No.: 10/677,099 Examiner: Quoc Hoang  
Filed : September 30, 2003  
Title : A DEVICE AND A METHOD FOR FORMING A CAPACITOR DEVICE

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

Copies of the U.S. patents/publications are not being provided per the waiver posted on the PTO website.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Each enclosed document listed on the herewith Form PTO-1449 was cited in the attached International Search Report dated February 7, 2005, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

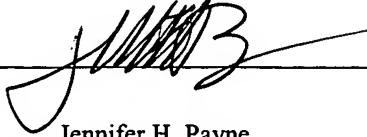
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May 6, 2005

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Date of Deposit

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Signature

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Jennifer H. Payne

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Typed or Printed Name of Person Signing Certificate

Applicant : Haoren Zhuang et al.  
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Attorney's Docket No.:14580-035001

communication from a foreign patent office in a counterpart foreign application.

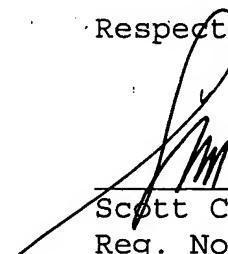
German Publication Nos. 101 31 624 and 101 31 626 are in the German language. The publications are filed with an abstract; no full translation is readily available. A concise explanation of all these documents can be found on the attached search report.

The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any additional charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

  
Scott C. Harris  
Reg. No. 32,030

Date: May 6, 2005

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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 14580-035001	Application No. 10/677,099
<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small>					
(37 CFR §1.98(b))				Applicant Haoren Zhuang et al.	
				Filing Date September 30, 2003	Group Art Unit 2818

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0081790	06/2002	Honigschmid, et al.			
	AB	2003/0058700	03/2003	Bruchhaus, et al.			
	AC	6,198,652	03/2001	Kawakubo, et al.			
	AD	6,300,652	10/2001	Risch, et al.			
	AE	6,448,597	09/2002	Kasai, et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	101 31 624	01/2003	Germany				
	AM	101 31 626	01/2003	Germany				
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	